

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Richard F. Bergen

Application No.: Group:

Filed: Examiner:

Title: **LIGHT ALTERING DEVICE**

Commissioner for Patents

Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

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(Date of Deposit)

William A. Henry, II

William A. Henry, II  
(Signature)

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. It is respectfully requested that the reference(s) and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), or (b) before the mailing date of a first Office Action on the merits. *No certification or fee required.*
2. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. 09/728,756, filed 12/04/2000, which is relied upon under 35 U.S.C. § 120. Thus, copies of these references are not attached (except for copies of cited pending applications) 37 C.F.R. § 1.98(d).

Respectfully submitted,

William A. Henry, II

William A. Henry, II  
Attorney for Applicant  
Registration No. 26,403  
Telephone (585) 385-3798

WAH

Form PTO-1449

US Dept. of Commerce  
PATENT & TRADEMARK OFFICE

ATTY DOCKET NO.

D/01

APPLICATION NO.  
N/AINFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

APPLICANT Richard F. Bergen

FILING DATE N/A

GROUP ART UNIT N/A

1C945 S PTO  
12/04/00  
#2  
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4-16  
R&B

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
<i>CH</i>	3,588,249	6/28/71	Robert H. Studebaker	356	4
<i>CH</i>	4,674,870	6/23/87	Gary L. Cain et al.	356	4
<i>CH</i>	4,679,937	7/14/87	Gary L. Cain et. al.	356	138
<i>CH</i>	5,914,778	6/22/99	Dawel Dong	356	247
<i>CH</i>	5,940,557	8/17/99	Andrew Thomas Harker	385	33
<i>CH</i>	5,994,688	11/30/99	Jonathan A. Jackson et. al.	250	206.2
<i>CH</i>	6,005,719	12/21/99	Joseph F. Rando	359	629
<i>CH</i>	6,009,630	1/4/00	Joseph F. Rando	33	365

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)


EXAMINER *CH*DATE CONSIDERED *4/3/03*

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



1-31-03  
Attorney Docket No. D/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Richard F. Bergen

Application No.: 09/728,756 Group: 2873

Filed: 12/04/2000 Examiner: Alicia M. Harrington

Title: **LIGHT ALTERING DEVICE**

Commissioner for Patents  
Washington, D.C. 20231

Madam:

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William A. Henry, II  
(Signature)

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)(2)**

The following patent is believed relevant to the present application:

U. S. Patent No. 5,898,809 to John Taboada et al. and issued April 27, 1997 discloses a sheet light projection system that projects a substantially uniform sheet of laser light using a fiber-optic bundle. The laser light is projected substantially orthogonal to the fiber-optic bundle, resulting in a sheet of light.

OK 4/3/03

Respectfully submitted,

William A. Henry, II

William A. Henry, II  
Attorney for Applicant  
Registration No. 26,403  
Telephone (585) 385-3798

WAH

Attachment: USPN 5,898,809

01/23/2003 DENMANU1 00000087 09728756

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<b>Notice of References Cited</b>		Application/Control No. 09/728,756	Applicant(s)/Patent Under Reexamination BERGEN, RICHARD F.	
		Examiner Alicia M Harrington	Art Unit 2873	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,237,634	08-1993	Follis, Charles R.	385/31
	B	US-4,974,957	12-1990	Kaelin, Barney	359/443
	C	US-3,790,257	02-1974	Goldstein et al.	359/845
	D	US-			
	E	US-			
	F	US-			
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Notice of References Cited</b>		Application/Control No. 09/728,756	Applicant(s)/Patent Under Reexamination BERGEN, RICHARD F.	
		Examiner Alicia M Harrington	Art Unit 2873	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,005,717	12-1999	Neuberger et al.	359/618
	B	US-5,680,257	10-1997	Anderson, Charles H.	359/727
	C	US-5,550,676	08-1996	Ohe et al.	359/619
	D	US-4,871,232	10-1989	Grinberg et al.	359/618
	E	US-4,309,746	01-1982	Rushworth, Paul M.	359/618
	F	US-4,344,671	08-1982	Lang, Paul W.	359/618
	G	US-			
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<b>Notice of References Cited</b>		Application/Control No. 09/728,756	Applicant(s)/Patent Under Reexamination BERGEN, RICHARD F.	
		Examiner Alicia M Harrington	Art Unit 2873	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,540,381 ✓	04-2003	Douglass, II, Myrl Rae	359/567
	B	US-6,502,319 ✓	01-2003	Goodrich et al.	33/286
	C	US-5,836,081 ✓	11-1998	Orosz, Jr., Steven J.	33/DIG.21
	D	US-			
	E	US-			
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<b>Notice of References Cited</b>		Application/Control No.	Applicant(s)/Patent Under Reexamination	
		09/728,756	BERGEN, RICHARD F.	
		Examiner	Art Unit	Page 1 of 1
		Alicia M Harrington	2873	

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,539,638	04-2003	Pelletier, Victor	33/227
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
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